



# Gradual Fine-Tuning for Low-Resource Domain Adaptation

Haoran Xu, Seth Ebner, Mahsa Yarmohammadi, Aaron Steven White<sup>†</sup>, Benjamin Van Durme, Kenton Murray  
Johns Hopkins University, <sup>†</sup>University of Rochester

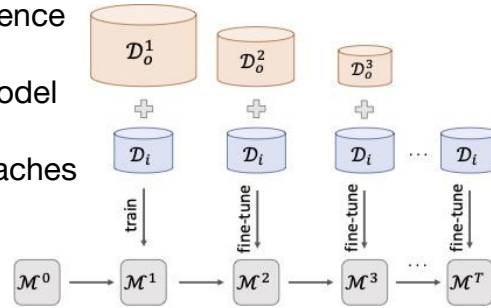
## Introduction

**Domain adaptation:** Directly learn a model for a task in a particular domain with too few instances of in-domain data

## Fine-tuning:

- 1) Pretrain a model on a large amount of out-of-domain but task-relevant data,
- 2) Further train the pretrained model on in-domain data until convergence

**Gradual FT:** Iteratively train a model to convergence on data whose distribution progressively approaches that of the in-domain data.



## Method

- Train a model on a sequence of datasets, each of which would be increasingly difficult to learn on its own due to its small size.

- At each stage, we increase the similarity between the current domain and the target domain, which enables the model to potentially better fit the distribution of the target domain.

```

1: function GRADUAL-FT( $\mathcal{D}_i, \mathcal{D}_o^0, \mathcal{M}^0, \mathcal{S}$ )
2:    $t \leftarrow 0$ 
3:   for amount in  $\mathcal{S}$  do
4:      $t \leftarrow t + 1$ 
5:      $\mathcal{D}_o^t \leftarrow \text{SAMPLE}(\mathcal{D}_o^{t-1}, \text{amount})$ 
6:      $\mathcal{D}_{train}^t \leftarrow \mathcal{D}_i \cup \mathcal{D}_o^t$ 
7:      $\mathcal{M}^t \leftarrow \text{TRAIN}(\mathcal{M}^{t-1}, \mathcal{D}_{train}^t)$ 
8:   end for
9:   return  $\mathcal{M}^t$ 
10: end function

```

## Datasets

### Dialogue State Tracking

*MultiWOZ v2.0:*

N-1  $\rightarrow$  {Rest., Hotel}

	Rest.	Hotel	Attract.	Taxi	Train
# Slots	7	10	3	4	6
# Turns	3011	3472	577	1667	1771
# Dialogues					
Train	523	513	127	326	282
Dev	50	56	11	57	30
Test	61	65	12	52	33

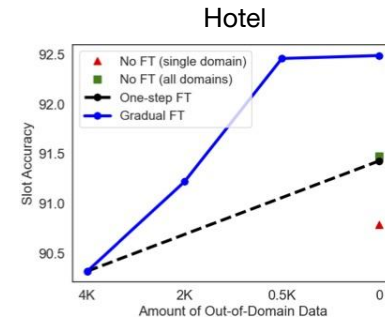
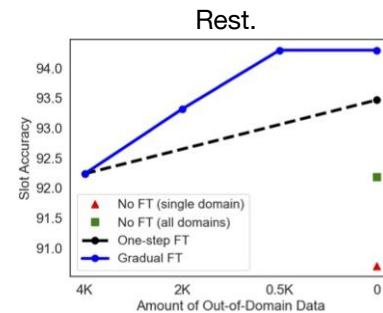
### Event Extraction

*ACE 2005:*

English  $\rightarrow$  Arabic

	English	Arabic
# Event types	33	30
# Role types	22	21
# Events/Arguments		
Train	4202/4859	1743/2506
Dev	450/605	117/174
Test	403/576	198/287

## Results



	TrigID	TrigC	ArgID	ArgC
No FT (Ar)	64.77	57.03	47.76	42.83
No FT (mixed)	64.12	59.48	46.57	43.21
One-stage FT	63.61	59.88	46.79	43.44
Gradual FT	<b>66.29</b>	<b>62.87</b>	<b>48.11</b>	<b>44.21</b>

## Conclusions

Gradually fine-tuning in a multi-stage process can:

- yield substantial gains over one-stage FT
- be applied without modifying the model or objective

